



PATENTS
AMS-002 CIP CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Juan Carlos Parodi
Application No.: 09/991,417 Confirmation No.: 4044
Filed: : November 16, 2001
For : APPARATUS AND METHODS FOR REDUCING
EMBOLIZATION DURING TREATMENT OF CAROTID
ARTERY DISEASE
Group Art Unit : 3763

Hon. Commissioner
for Patents
c/o P.O. Box 2327
Arlington, VA 22202

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TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,
applicant hereby makes the following documents of record in
the above identified application:*

U.S. Patents

| | | |
|-------------|----------|--------------------|
| **6,295,989 | 10/2001 | Connors, III |
| **6,228,052 | 05/2001 | Pohndorf |
| **6,180,059 | 01/2001 | Divino, Jr. et al. |
| **6,165,199 | 12/2000 | Barbut |
| **6,161,547 | 12/2000 | Barbut |
| 6,129,708 | 10/10/00 | Enger |
| **6,042,559 | 03/2000 | Dobak, III |
| **6,029,671 | 02/2000 | Stevens et al. |
| **6,027,476 | 02/2000 | Sterman et al. |
| **6,013,085 | 01/2000 | Howard |
| **5,997,557 | 12/1999 | Barbut et al. |

* Applicant reserves the right to challenge the status
of any of the cited documents as prior art.

| | | |
|-------------|----------|------------------|
| 5,925,016 | 07/20/99 | Chornenky et al. |
| **5,919,163 | 06/1999 | Stevens et al. |
| **5,916,193 | 06/1999 | Stevens et al. |
| **5,833,650 | 11/1998 | Imran |
| **5,794,629 | 08/1998 | Frazee |
| **5,766,151 | 06/1998 | Valley et al. |
| **5,669,927 | 09/1997 | Boebel et al. |
| **5,584,803 | 12/1996 | Stevens et al. |
| **5,549,626 | 08/1996 | Miller et al. |
| **5,102,415 | 04/1992 | Guenther et al. |
| **5,011,488 | 04/1991 | Ginsburg |
| **4,921,478 | 05/1990 | Solano et al. |
| **4,794,928 | 01/1989 | Kletschka |
| **4,781,681 | 11/1988 | Sharrow et al. |
| **4,575,371 | 03/1986 | Nordqvist et al. |

Foreign Patents

| | | |
|----------------|---------|-----|
| **0 427 429 A2 | 05/1991 | EPO |
|----------------|---------|-----|

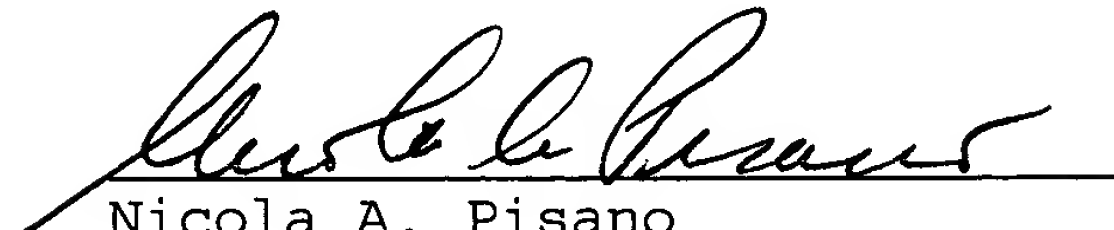
In accordance with 37 C.F.R. § 1.98 (d), copies of the above-cited documents that are identified with double asterisks, all of which were made of record in U.S. Patent Application No. 09/418,727, from which priority is claimed under 35 U.S.C. § 120, are not submitted herewith.

Copies of the remaining aforementioned documents not identified with double asterisks are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully
requested.

Respectfully submitted,



Nicola A. Pisano

Reg. No. 34,408

Attorney for Applicant

FISH & NEAVE
1251 Avenue of the Americas
New York, N. Y. 10020
(650) 617-4000

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